

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/842,361	04/25/2001	Robert Hess	2119/103

CONFIRMATION NO. 6579

02101  
BROMBERG & SUNSTEIN LLP  
125 SUMMER STREET  
BOSTON, MA 02110-1618

## FORMALITIES LETTER



\*OC00000006195769\*

DOCKETED

Date Mailed: 06/18/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2540.
  - \$1980 for 110 total claims over 20.
  - \$560 for 7 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3380.

*A copy of this notice MUST be returned with the reply.*

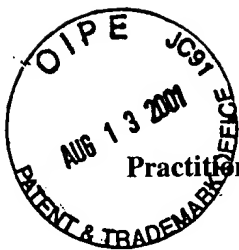
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/16/2001 HBERHE 00000099 09842361

01 FC:201	355.00 OP
02 FC:205	65.00 OP
03 FC:203	990.00 OP
04 FC:202	280.00 OP



Practitioner's Docket No. 2119/103

HA  
SECTOR  
#3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hess, Robert; Brenan, Colin; Linton, John; Ozbal, Can C.; Green, Donald; and  
Hunter, Ian

Application No.: 09/842,361

Group No.: 1743

Filed: April 24, 2001

Examiner: N/A

For: A System and Method for High Throughput Processing of Droplets

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed June 18, 2001.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

SMALL ENTITY STATUS

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

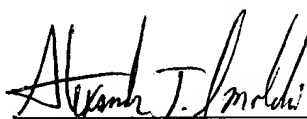
MAILING

[X] deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: August 8, 2001

FACSIMILE

[ ] transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

  
Signature

Alexander J. Smolenski

(type or print name of person certifying)

**III.** A statement that this filing is by a small entity is attached.

#### **COMPLETION FEES**

**IV.**

**1. Filing Fee**

Original patent application (37 C.F.R. Section 1.16(a))	\$355.00
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**2. Fees for Claims**

Each independent claim in excess of 3 (37 C.F.R. Section 1.16(b))	\$280.00
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Each claim in excess of 20 (37 C.F.R. Section 1.16(c))	\$990.00
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**3. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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Total Completion Fees	\$1,690.00
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#### **EXTENSION OF TIME**

**V.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**TOTAL FEE DUE**

**VI.** The total fee due is:

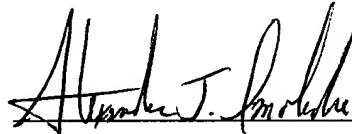
Completion fees	\$1,690.00
Extension fee (if any)	\$0.00
Total Fee Due	\$1,690.00

**PAYMENT OF FEES**

**VII.** Enclosed is a check in the amount of 1,690.00.

Please charge Account No. 19-4972 for any fees that may be due by this paper.

Date: August 8, 2001



Alexander J. Smolenski  
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125 Summer Street  
Boston, MA 02110  
US  
617-443-9292  
Customer No. 02101



Atty Dkt: 2119/103

### STATEMENT CLAIMING SMALL ENTITY STATUS

Applicant(s): Hess et al.  
Serial No: 09/842,361  
Date Filed: April 25, 2001  
For: A System and Method for High Throughput Processing of Droplets

I have read the Important Note Concerning Small Entities provided to me with this document.<sup>1</sup>

I am an official empowered to act on behalf of BioTrove, Inc., 620 Memorial Drive, Cambridge, MA 02139

It is my understanding that BioTrove, Inc. is entitled to claim small entity status for purposes of paying reduced fees with regard to the above invention because:

BioTrove, Inc. qualifies as a small business / non-profit organization as described in the Important Note. Furthermore, rights to the captioned invention are not licensed or subject to obligation to be licensed to a party other than a small entity.

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate.

Signature:

  
Colin Brennan

Date:

5-18-01

02119/00103 155426.1

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<sup>1</sup>Please call if you have questions regarding any aspect of the requirements of small entity status.